

How many miles to  $\beta$  X? : d miles, or just one foot

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# How many miles to $\beta X$ ? — $\mathfrak{d}$ miles, or just one foot

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#### Abstract

It is known that the Stone–Čech compactification  $\beta X$  of a metrizable space X is approximated by the collection of Smirnov compactifications of X for all compatible metrics on X. If we confine ourselves to locally compact separable metrizable spaces, the corresponding statement holds for Higson compactifications. We investigate the smallest cardinality of a set D of compatible metrics on X such that  $\beta X$  is approximated by Smirnov or Higson compactifications for all metrics in D. We prove that it is either the dominating number or 1 for a locally compact separable metrizable space.

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#### 1 Introduction

A compactification of a completely regular Hausdorff space X is a compact Hausdorff space which contains X as a dense subspace. For compactifications  $\alpha X$  and  $\gamma X$  of X, we write  $\alpha X \leq \gamma X$  if there is a continuous surjection  $f: \gamma X \to \alpha X$  such that  $f \upharpoonright X$  is the identity map on X. If such an f can be chosen to be a homeomorphism, we write  $\alpha X \simeq \gamma X$ . Let  $\mathcal{K}(X)$  denote the class of compactifications of X. When we identify  $\simeq$ -equivalent compactifications, we may regard  $\mathcal{K}(X)$  as a set, and the order structure  $(\mathcal{K}(X), \leq)$  is a complete upper semilattice whose largest element is the Stone–Čech compactification  $\beta X$ .

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Let  $C^*(X)$  denote the ring of bounded continuous functions from X to  $\mathbb{R}$ . A subring R of  $C^*(X)$  is called regular if R is closed in the sense of uniform norm topology, contains all constant functions, and generates the topology of X. Let  $\mathcal{R}(X)$  denote the class of regular subrings of  $C^*(X)$ . Then it is known that  $(\mathcal{K}(X), \leq)$  is isomorphic to  $(\mathcal{R}(X), \subseteq)$ , by mapping each  $\alpha X \in \mathcal{K}(X)$  to the set of bounded continuous functions from X to  $\mathbb{R}$  which are continuously extended over  $\alpha X$  (cf. [1, Theorem 3.7], [2, Theorem 2.5]). In particular,  $\beta X$  corresponds to the whole  $C^*(X)$ . (See [2, 4] for more details.)

For a compactification  $\alpha X$  of X and two closed subsets A, B of X, we write  $A \parallel B \ (\alpha X)$  if  $\operatorname{cl}_{\alpha X} A \cap \operatorname{cl}_{\alpha X} B = \emptyset$ , and otherwise  $A \not\parallel B \ (\alpha X)$ .

For a metric space (X, d),  $U_d^*(X)$  denotes the set of all bounded uniformly continuous functions from (X, d) to  $\mathbb{R}$ .  $U_d^*(X)$  is a regular subring of  $C^*(X)$ . The Smirnov compactification  $u_dX$  of (X, d) is the unique compactification associated with the subring  $U_d^*(X)$ . For disjoint closed subsets A, B of X,  $A \parallel B \ (u_dX)$  if and only if d(A, B) > 0 [7, Theorem 2.5].

The following theorem tells us that we can approximate the Stone–Cech compactification of a metrizable space by the collection of all Smirnov compactifications. Let  $\mathcal{M}(X)$  denote the set of all metrics on X which are compatible with the topology on X.

**Theorem 1.1.** [7, Theorem 2.11] For a noncompact metrizable space X, we have  $\beta X \simeq \sup\{u_d X : d \in M(X)\}$  (the supremum is taken in the lattice  $(\mathcal{K}(X), \leq)$ ).

Now we define the following cardinal function.

**Definition 1.2.** [5, Definition 2.2] For a noncompact metrizable space X, let  $\mathfrak{sa}(X) = \min\{|D| : D \subseteq M(X) \text{ and } \beta X \simeq \sup\{u_d X : d \in D\}\}.$ 

For a metrizable space X, a metric d on X is called *proper* if each d-bounded set has compact closure. A *proper metric space* means a metric space whose metric is proper.

For a function f and a subset A of the domain of f, f''A denotes the image of A by f.

Let (X, d) be a proper metric space and  $(Y, \rho)$  a metric space. We say a function f from X to Y is slowly oscillating if it satisfies the following condition:

 $\forall r > 0 \,\forall \varepsilon > 0 \,\exists K \text{ a compact subset of } X \,\forall x \in X \setminus K \, (\operatorname{diam}_{\rho}(f'' \, \mathrm{B}_d(x, r)) < \varepsilon).$ 

For a proper metric space (X, d), let  $C_d^*(X)$  be the set of all bounded continuous slowly oscillating functions from (X, d) to  $\mathbb{R}$ .  $C_d^*(X)$  is a regular subring of  $C^*(X)$ . The Higson compactification  $\overline{X}^d$  of (X, d) is the unique

compactification associated with the subring  $C_d^*(X)$ . For disjoint closed subsets A, B of X,  $A \parallel B$  ( $\overline{X}^d$ ) if and only if for any R > 0 there is a compact subset  $K_R$  of X such that d(x, A) + d(x, B) > R holds for all  $x \in X \setminus K_R$  [3, Proposition 2.3].

The following corresponds to Theorem 1.1 for Higson compactifications. Note that a proper metric space is locally compact and separable. Let PM(X) be the set of all proper metrics compatible with the topology of X.

**Theorem 1.3.** [6, Theorem 3.2] For a noncompact locally compact separable metrizable space X, we have  $\beta X \simeq \sup{\{\overline{X}^d : d \in PM(X)\}}$ .

So we consider the following cardinal function.

**Definition 1.4.** [5, Definition 6.2] For a noncompact locally compact separable metrizable space X, let  $\mathfrak{ha}(X) = \min\{|D| : D \subseteq \mathrm{PM}(X) \text{ and } \beta X \simeq \sup\{\overline{X}^d : d \in D\}\}.$ 

We have  $\mathfrak{sa}(X) \leq \mathfrak{ha}(X)$  for each locally compact separable metrizable space X [5, Lemma 6.3].

For  $f, g \in \omega^{\omega}$ , we say  $f \leq^* g$  if for all but finitely many  $n < \omega$  we have  $f(n) \leq g(n)$ . The dominating number  $\mathfrak{d}$  is the smallest size of a subset of  $\omega^{\omega}$  which is cofinal in  $\omega^{\omega}$  with respect to  $\leq^*$ .

In Section 2 we will show that, for a locally compact separable metrizable space X, either  $\mathfrak{sa}(X) = \mathfrak{ha}(X) = \mathfrak{d}$  or  $\mathfrak{sa}(X) = \mathfrak{ha}(X) = 1$  holds. In Section 3 we will give an example of a nonseparable metrizable space X for which  $\mathfrak{sa}(X) > \mathfrak{d}$  holds.

## 2 Dichotomy for locally compact separable spaces

It is easily seen that  $\mathfrak{sa}(\omega) = \mathfrak{ha}(\omega) = 1$ . In fact, the following two theorems give equivalent conditions respectively for  $\mathfrak{sa}(X) = 1$  and  $\mathfrak{ha}(X) = 1$ .

For a space X,  $X^{(1)}$  denotes the first Cantor–Bendixson derivative of X, that is, the subspace of X which consists of nonisolated points of X.

**Theorem 2.1.** [7, Corollary 3.5] For a metrizable space X, the following conditions are equivalent.

- 1. There is a metric  $d \in M(X)$  for which  $u_dX \simeq \beta X$  holds.
- 2.  $X^{(1)}$  is compact.

**Theorem 2.2.** [6, Proposition 2.6] For a locally compact separable metrizable space X, the following conditions are equivalent.

- 1. There is a proper metric  $d \in PM(X)$  for which  $\overline{X}^d \simeq \beta X$  holds.
- 2.  $X^{(1)}$  is compact.

In the paper [5] we proved the following proposition.

**Proposition 2.3.** [5, Examples 2.3 and 6.4]  $\mathfrak{sa}([0,\infty)) = \mathfrak{ha}([0,\infty)) = \mathfrak{d}$ .

In this section we prove that, assuming that X is locally compact and separable,  $\mathfrak{sa}(X) = \mathfrak{ha}(X) = \mathfrak{d}$  unless  $X^{(1)}$  is compact. In particular, since  $\mathfrak{ha}(X)$  is defined only when X is locally compact and separable,  $\mathfrak{ha}(X)$  is either  $\mathfrak{d}$  or 1 when it is defined.

We will use the following two lemmas.

**Lemma 2.4.** [5, Lemma 1.1] For a compactification  $\alpha X$  of a space X and closed subsets A, B of X, the following conditions are equivalent:

- 1.  $A \parallel B \ (\alpha X)$ .
- 2. There are  $g \in C^*(X)$  and  $a, b \in \mathbb{R}$  such that a > b,  $g(x) \ge a$  for all  $x \in A$ ,  $g(x) \le b$  for all  $x \in B$  and g is continuously extended over  $\alpha X$ .

Note that, for a normal space X,  $\alpha X \simeq \beta X$  if and only if  $A \parallel B \ (\alpha X)$  for any disjoint closed subsets A, B of X.

**Lemma 2.5.** [5, Lemma 1.2] Suppose that C is a set of compactifications of a space X. For closed sets A, B of X, the following conditions are equivalent:

- 1.  $A \parallel B \pmod{\mathcal{C}}$ .
- 2.  $A \parallel B \pmod{\mathcal{F}}$  for some nonempty finite subset  $\mathcal{F}$  of  $\mathcal{C}$ .

Since  $\mathfrak{sa}(X) \leq \mathfrak{ha}(X)$  holds if both are defined, it suffices to show that  $\mathfrak{sa}(X) \geq \mathfrak{d}$  and  $\mathfrak{ha}(X) \leq \mathfrak{d}$ .

First we show that  $\mathfrak{sa}(X) \geq \mathfrak{d}$  unless  $\mathfrak{sa}(X) = 1$ . This holds for all metrizable spaces.

**Lemma 2.6.** Let X be a metrizable space. If  $X^{(1)}$  is not compact, then  $\mathfrak{sa}(X) \geq \mathfrak{d}$ .

*Proof.* Since  $X^{(1)}$  is not compact, there is a countable subset A of  $X^{(1)}$  which has no accumulating point in X. Note that A is closed in X. Enumerate A as  $\{a_n : n < \omega\}$ .

**Claim 1.** There are a neighborhood  $U_n$  of  $a_n$  and a sequence  $\langle b_{n,i} : i < \omega \rangle$  in  $U_n \setminus \{a_n\}$  for  $n < \omega$  such that,

- 1. for each  $n < \omega$ ,  $\langle b_{n,i} : i < \omega \rangle$  converges to  $a_n$ ,
- 2. if  $n < m < \omega$  then  $U_n \cap U_m = \emptyset$ , and
- 3. for any  $f \in \omega^{\omega}$ , the set  $B_f = \{b_{n,f(n)} : n < \omega\}$  has no accumulating point.

Proof. Fix a metric  $\rho \in M(X)$ . For each  $n < \omega$ , let  $\delta_n = \frac{1}{3} \cdot \rho(a_n, A \setminus \{a_n\})$ . By the choice of A, we have  $\delta_n > 0$ . Let  $U_n = B_\rho(a_n, \delta_n)$ . Then  $n \neq m$  implies  $U_n \cap U_m = \emptyset$ . Since  $a_n$  is not isolated in X, we can choose a sequence  $\langle b_{n,i} : i < \omega \rangle$  in  $U_n \setminus \{a_n\}$  which converges to  $a_n$ . Fix an arbitrary  $f \in \omega^\omega$ . By the choice of  $\delta_n$ 's, if  $B_f$  accumulates to a point, then A must accumulate to the same point. Hence  $B_f$  has no accumulating point.

Fix  $\kappa < \mathfrak{d}$  and a set  $D \subseteq M(X)$  of size  $\kappa$ . We show that  $\beta X \not\simeq \sup\{u_d X : d \in D\}$ .

For each  $d \in D$ , define a function  $g_d \in \omega^{\omega}$  by letting

$$g_d(n) = \min\left\{m < \omega : \forall i \ge m \left(d(a_n, b_{n,i}) < \frac{1}{n+1}\right)\right\}$$

for  $n < \omega$ . For each nonempty finite subset F of D, let  $g_F = \max\{g_f : f \in F\}$  (where max is the pointwise maximum). Since  $|[D]^{<\omega}| = |D| = \kappa < \mathfrak{d}$ , there is an  $f \in \omega^{\omega}$  which satisfies  $f \nleq^* g_F$  for every nonempty finite subset F of D.

Let  $B = B_f = \{b_{n,f(n)} : n < \omega\}$ . Then B is closed and disjoint from A.

For an arbitrary nonempty finite subset F of D, the set  $I_F = \{n < \omega : g_F(n) < f(n)\}$  is infinite. Let  $C = \operatorname{cl}\langle\bigcup\{U_d^*(X) : d \in F\}\rangle$ . Then C is the closed subring of  $C^*(X)$  associated with  $\sup\{u_dX : d \in F\}$ . By the definition of  $g_F$ , each  $n \in I_F$  satisfies  $d(a_n, b_{n,f(n)}) < \frac{1}{n+1}$  for all  $d \in F$ . If  $\psi \in \bigcup\{U_d^*(X) : d \in F\}$ , then the sequence  $\langle\psi(a_n) - \psi(b_{n,f(n)}) : n \in I_F\rangle$  converges to 0. So for all  $\varphi \in C$ ,  $\langle\varphi(a_n) - \varphi(b_{n,f(n)}) : n \in I_F\rangle$  converges to 0. This means that there are no  $\varphi \in C$  and  $a, b \in \mathbb{R}$  such that a > b,  $\varphi(x) \geq a$  for all  $x \in A$ , and  $\varphi(x) \leq b$  for all  $x \in B$ . By Lemma 2.4, this means  $A \not\parallel B$  (sup $\{u_dX : d \in F\}$ ). Since F is an arbitrary nonempty finite subset of D and by Lemma 2.5, we have  $A \not\parallel B$  (sup $\{u_dX : d \in D\}$ ), and hence  $\beta X \not\simeq \sup\{u_dX : d \in D\}$ .

We turn to the proof of the inequality  $\mathfrak{ha}(X) \leq \mathfrak{d}$ .

For notational convenience, in the following lemmas and proofs, we let  $C_n = K_n = \emptyset$  for n = -1, -2, ...

**Lemma 2.7.** Suppose that X is a normal space, and a sequence  $\langle C_n : n < \omega \rangle$  of closed subsets of X satisfies  $C_n \subseteq \operatorname{int} C_{n+1}$  for all  $n < \omega$  and  $X = \bigcup \{C_n : n < \omega \}$ . Then, for an increasing sequence  $\langle r_n : n < \omega \rangle$  of nonnegative real numbers, there is a continuous function  $\varphi$  from X to  $[0, \infty)$  such that, for each  $n < \omega$  we have  $\varphi''(C_n \setminus \operatorname{int} C_{n-1}) \subseteq [r_n, r_{n+1}]$ .

Proof. For each  $n < \omega$ , choose a continuous function  $\varphi_n$  from X to  $[0, r_n]$  so that  $\varphi_n''C_{n-2} = \{0\}$  and  $\varphi_n''(X \setminus \operatorname{int} C_{n-1}) = \{r_n\}$ . Note that, if  $x \in C_m$ , then for all  $n \geq m+2$  we have  $\varphi_n(x) = 0$ . So we can define a continuous function  $\varphi$  from X to  $[0, \infty)$  as the pointwise maximum of  $\{\varphi_n : n < \omega\}$ , and then  $\varphi$  satisfies the requirement.

Suppose that X is a locally compact separable metrizable space. Since X is  $\sigma$ -compact, there is a sequence  $\langle K_n : n < \omega \rangle$  of compact subsets of X such that, for each  $n < \omega$  we have  $K_n \subseteq \operatorname{int} K_{n+1}$ , and  $X = \bigcup \{K_n : n < \omega\}$ .

**Lemma 2.8.** Let (X,d) be a locally compact separable metric space, and  $\langle K_n : n < \omega \rangle$  a sequence of compact subsets of X such that, for each  $n < \omega$  we have  $K_n \subseteq \operatorname{int} K_{n+1}$ , and  $X = \bigcup \{K_n : n < \omega\}$ . Then, for each  $g \in \omega^{\omega}$ , there is a proper metric  $d_g$  which satisfies the following:

- 1.  $d_q$  is compatible with the topology of X.
- 2. For  $n < \omega$  and  $x, y \in X \setminus K_{n-1}$  we have  $d_q(x, y) \ge g(n) \cdot d(x, y)$ .
- 3. For  $n < \omega$  we have  $d_q(K_{n-1}, X \setminus K_n) \ge n$ .

*Proof.* Let  $R_n = \max\{n, \operatorname{diam}_d(K_n)\}$  for each  $n < \omega$ , and let c be the continuous function from X to  $[0, \infty)$  which is obtained by applying Lemma 2.7 to  $\langle K_n : n < \omega \rangle$  and  $\langle R_n : n < \omega \rangle$ .

We may assume that g is increasing and  $g(0) \ge 1$ . Choose an increasing continuous function f from  $[0,\infty)$  to  $[1,\infty)$  such that  $f(\frac{n}{2}) \ge g(n)$  for all  $n < \omega$ . For  $s \in [0,\infty)$ , let

$$F(s) = \int_0^s f(t)dt.$$

Define functions  $\rho$ ,  $\rho'_q$  from  $X \times X$  to  $[0, \infty)$  by the following:

$$\rho(x,y) = \max\{\left|c(x) - c(y)\right|, d(x,y)\},\$$

$$\rho_g'(x,y) = f(\max\{c(x),c(y)\}) \cdot \rho(x,y).$$

It is easy to see that  $\rho$  is a proper metric on X and compatible with the topology on X. However,  $\rho'_q$  is not necessarily a metric on X, because  $\rho'_q$ 

does not satisfy triangle inequality in general. So we define a function  $\rho_g$  from  $X \times X$  to  $[0, \infty)$  by the following:

$$\rho_g(x,y) = \inf \{ \rho'_g(x,z_0) + \dots + \rho'_g(z_i,z_{i+1}) + \dots + \rho'_g(z_{l-1},y) : l < \omega \text{ and } z_0,\dots,z_{l-1} \in X \}.$$

Note that, since f is increasing,  $\rho'_g(x,y) \ge f(\max\{c(x),c(y)\}) \cdot |c(x)-c(y)| \ge |F(c(x))-F(c(y))|$ . Hence we have  $\rho_g(x,y) \ge |F(c(x))-F(c(y))|$ , because

$$\rho'_g(x, z_0) + \dots + \rho'_g(z_{l-1}, y)$$

$$\geq |F(c(x)) - F(c(z_0))| + \dots + |F(c(z_{l-1})) - F(c(y))|$$

$$\geq |F(c(x)) - F(c(y))|.$$

Claim 1. Let x, y be points of X. If  $x, y \in X \setminus K_{n-1}$ ,  $n < \omega$ , then  $\rho_g(x, y) \ge f(\frac{n}{2}) \cdot d(x, y) \ge g(n) \cdot d(x, y)$ .

Proof. We may assume that  $c(x) = r \ge s = c(y)$ ,  $x \in K_m \setminus K_{m-1}$  and  $y \in K_m$  for some  $m \ge n$ . By the definition of c, we have  $s \ge n$ . Since f is increasing, it suffices to show that  $\rho'_g(x, z_0) + \cdots + \rho'_g(z_{l-1}, y) \ge f(\frac{s}{2}) \cdot d(x, y)$  for any  $l < \omega, z_0, \ldots, z_{l-1} \in X$ .

Case 1. Assume that  $c(z_i) > \frac{s}{2}$  for all i < l. Since f is increasing, the definition of  $\rho'_a$  yields

$$\rho'_{g}(x, z_{0}) + \dots + \rho'_{g}(z_{l-1}, y) > f(\frac{s}{2}) \cdot (\rho(x, z_{0}) + \dots + \rho(z_{l-1}, y))$$

$$\geq f(\frac{s}{2}) \cdot \rho(x, y)$$

$$\geq f(\frac{s}{2}) \cdot d(x, y).$$

Case 2. Assume that  $c(z_i) \leq \frac{s}{2}$  for some i < l. Fix such an i and then we have the following:

$$\rho'_g(x, z_0) + \dots + \rho'_g(z_{i-1}, z_i) \ge \rho_g(x, z_i) \ge F(c(x)) - F(c(z_i)),$$
  
$$\rho'_g(z_i, z_{i+1}) + \dots + \rho'_g(z_{l-1}, y) \ge \rho_g(z_i, y) \ge F(c(y)) - F(c(z_i)).$$

Hence it holds that

$$\rho'_{g}(x, z_{0}) + \dots + \rho'_{g}(z_{l-1}, y) \geq (F(r) - F(c(z_{i}))) + (F(s) - F(c(z_{i})))$$

$$\geq (F(r) - F(\frac{s}{2})) + (F(s) - F(\frac{s}{2}))$$

$$\geq (r - \frac{s}{2})f(\frac{s}{2}) + \frac{s}{2}f(\frac{s}{2})$$

$$= rf(\frac{s}{2}).$$

On the other hand,  $d(x, y) \leq r$ , because  $x, y \in K_m$  and  $r = c(x) \geq \operatorname{diam}_d K_m$ . So we have

$$\rho'_g(x, z_0) + \dots + \rho'_g(z_{l-1}, y) \ge f(\frac{s}{2}) \cdot d(x, y).$$

This concludes the proof of the claim.

Clearly  $\rho_g$  is symmetric and satisfies the triangle inequality. Since  $f(s) \ge 1$  for all  $s \in [0, \infty)$ , Claim 1 implies that  $\rho_g$  is a metric on X. Moreover,  $\rho_g$  is proper because  $\rho_g \ge \rho$  and  $\rho$  is proper. It is easy to see that  $\rho_g$  is compatible with the topology of (X, d).

Finally, we define a metric  $d_g$  using  $\rho_g$ . Let  $\delta$  be the continuous function from X to  $[0, \infty)$  which is obtained by applying Lemma 2.7 to  $\langle K_n : n < \omega \rangle$  and  $\langle n^2 : n < \omega \rangle$ . Note that, for  $n < \omega$ ,  $x \in K_{n-1}$  and  $y \in X \setminus K_n$  we have  $\delta(y) - \delta(x) \ge n$ . Define  $d_g$  by letting  $d_g(x, y) = \max\{|\delta(x) - \delta(y)|, \rho_g(x, y)\}$  for  $x, y \in X$ . Then  $d_g$  satisfies all requirements of the lemma.  $\square$ 

**Lemma 2.9.** For any locally compact separable metrizable space X, we have  $\mathfrak{ha}(X) \leq \mathfrak{d}$ .

*Proof.* Fix a metric d on X, and choose a sequence  $\langle K_n : n < \omega \rangle$  of compact sets of X that meets the requirement in Lemma 2.8. For each  $g \in \omega^{\omega}$ , let  $d_g$  be the metric on X which is obtained by applying Lemma 2.8 to (X, d),  $\langle K_n : n < \omega \rangle$  and g.

Choose a subset  $\mathcal{F}$  of  $\omega^{\omega}$  of size  $\mathfrak{d}$  which is cofinal with respect to  $\leq^*$ . We will prove that  $\beta X \simeq \sup\{\overline{X}^{d_g} : g \in \mathcal{F}\}$ . It suffices to show that, for any two disjoint closed sets A, B of X there is a  $g \in \mathcal{F}$  such that  $A \parallel B \mid (\overline{X}^{d_g})$ .

For  $n < \omega$ , let  $\Delta_n = K_{n+2} \setminus \operatorname{int} K_n$ . Note that  $\Delta_n \subseteq X \setminus K_{n-1}$  for each  $n < \omega$ . Since A, B are disjoint closed sets and each  $\Delta_n$  is compact, we have  $d(A \cap \Delta_n, B \cap \Delta_n) > 0$  if  $A \cap \Delta_n \neq \emptyset \neq B \cap \Delta_n \neq \emptyset$ . Define  $h_{A,B} \in \omega^{\omega}$  as follows: For  $n < \omega$  with  $A \cap \Delta_n \neq \emptyset \neq B \cap \Delta_n \neq \emptyset$ , let

$$h_{A,B}(n) = \left\lceil \frac{n}{d(A \cap \Delta_n, B \cap \Delta_n)} \right\rceil,$$

(where  $\lceil r \rceil$  denotes the smallest integer not smaller than r) and otherwise  $h_{A,B}(n)$  is arbitrary. Find  $g \in \mathcal{F}$  and  $N < \omega$  such that  $h_{A,B}(n) \leq g(n)$  for n > N.

We claim that, for every  $M \geq N$  and  $x \in X \setminus K_{M+1}$  we have  $d_g(x,A) + d_g(x,B) \geq M$ , and hence  $A \parallel B \ (\overline{X}^{d_g})$ . Fix  $M < \omega$  and  $x \in X \setminus K_{M+1}$ . Since  $d_g$  is a proper metric, we can find  $a \in A$  and  $b \in B$  such that  $d_g(x,A) + d_g(x,B) = d_g(x,a) + d_g(x,b)$  holds. Choose  $n_a, n_b < \omega$  so that  $a \in K_{n_a} \setminus K_{n_{a-1}}$  and  $b \in K_{n_b} \setminus K_{n_{b-1}}$ , and let  $n = \min\{n_a, n_b\}$ .

Case 1.  $n \leq M$ . Since  $x \in X \setminus K_{M+1}$  and  $d_g(K_M, X \setminus K_{M+1}) \geq M$ , we have  $d_g(a, x) \geq M$  or  $d_g(b, x) \geq M$ .

Case 2. n > M. By the triangle inequality, it suffices to show that

 $d_q(a,b) \geq M$ . If  $|n_a - n_b| \leq 1$ , then  $a,b \in \Delta_{n-1}$ , and hence we have

$$d_g(a,b) \ge g(n-1) \cdot d(a,b)$$

$$\ge h_{A,B}(n-1) \cdot d(a,b)$$

$$\ge h_{A,B}(n-1) \cdot d(A \cap \Delta_{n-1}, B \cap \Delta_{n-1})$$

$$> n-1 > M.$$

Otherwise, we have  $d_g(a, b) \ge d_g(K_n, X \setminus K_{n+1}) \ge n > M$ . This concludes the proof.

Now we have the following theorem.

**Theorem 2.10.** Let X be a locally compact separable metrizable space. If  $X^{(1)}$  is not compact, then  $\mathfrak{sa}(X) = \mathfrak{ha}(X) = \mathfrak{d}$ , and otherwise  $\mathfrak{sa}(X) = \mathfrak{ha}(X) = 1$ .

### 3 It may be further than $\mathfrak d$ miles

The cardinal  $\mathfrak{ha}(X)$  is defined for locally compact separable metrizable spaces X, while  $\mathfrak{sa}(X)$  is defined for any metrizable space X. By Theorem 2.1 and Lemma 2.6, either  $\mathfrak{sa}(X) \geq \mathfrak{d}$  or  $\mathfrak{sa}(X) = 1$  holds for any X. In this section, we show the existence of a metrizable space X for which  $\mathfrak{sa}(X) > \mathfrak{d}$  holds.

For a topological space X, e(X), the *extent* of X, is defined by  $e(X) = \sup\{|D| : D \subseteq X \text{ and } D \text{ is closed discrete}\} + \aleph_0$ .

**Definition 3.1.** For an infinite cardinal  $\kappa$ , define  $\log \kappa$  by letting  $\log \kappa = \min\{\theta : 2^{\theta} \geq \kappa\}$ .

It is easy to see that, for a set C of infinite cardinals, we have  $\log(\sup C) = \sup\{\log \kappa : \kappa \in C\}.$ 

**Proposition 3.2.** Let X be a metrizable space. If  $X^{(1)}$  is not compact, then  $\mathfrak{sa}(X) \geq \log e(X^{(1)})$ .

*Proof.* It suffices to show that, for infinite cardinals  $\kappa$  and  $\lambda$ , if  $X^{(1)}$  has a closed discrete subset of size  $\kappa$  and  $\lambda = \log \kappa$ , then  $\mathfrak{sa}(X) \geq \lambda$ .

Suppose that D is a set of compatible metrics on X and  $|D| = \mu < \lambda$ . We will show that  $\beta X \not\simeq \sup\{u_{\rho}X : \rho \in D\}$ . Since we have  $\mathfrak{sa}(X) \geq \mathfrak{d}$  by Lemma 2.6, we may assume that  $\mu \geq \mathfrak{d}$ .

Choose a subset H of  $\omega^{\omega}$  of size  $\mathfrak{d}$  which is cofinal with respect to  $\leq$ .

Fix a closed discrete subset  $A = \{a_{\xi} : \xi < \kappa\}$  of  $X^{(1)}$ . As in the proof of Lemma 2.6, we choose a neighborhood  $U_{\xi}$  of  $a_{\xi}$  and a sequence  $\langle b_{\xi,i} : i < \omega \rangle$  in  $U_{\xi} \setminus \{a_{\xi}\}$  for  $\xi < \kappa$  so that,

- 1. for each  $\xi < \kappa$ ,  $\langle b_{\xi,i} : i < \omega \rangle$  converges to  $a_{\xi}$ ,
- 2. if  $\xi < \eta < \kappa$  then  $U_{\xi} \cap U_{\eta} = \emptyset$ , and
- 3. for any  $\varphi \in \omega^{\kappa}$ , the set  $\{b_{\xi,\varphi(\xi)} : \xi < \kappa\}$  has no accumulating point.

For each  $\rho \in D$  and  $\xi < \kappa$ , define  $g_{\rho}^{\xi} \in \omega^{\omega}$  by letting

$$g_{\rho}^{\xi}(m) = \min \left\{ k < \omega : \forall i \ge k \left( \rho(a_{\xi}, b_{\xi, i}) < \frac{1}{m+1} \right) \right\}$$

for  $m < \omega$ , and choose  $h_{\rho}^{\xi} \in H$  so that  $g_{\rho}^{\xi} \leq h_{\rho}^{\xi}$ . Since  $\mathfrak{d} \leq \mu = |D| < \lambda = \log \kappa$ , we have  $\mathfrak{d}^{\mu} = 2^{\mu} < \kappa$ , and hence there are  $K \in [\kappa]^{\kappa}$  and  $\{h^{\xi} : \xi \in K\}$  such that, for each  $\xi \in K$ ,  $h^{\xi}_{\rho} = h^{\xi}$  for all  $\rho \in D$ .

Fix a countable set  $\{\xi_n : n < \omega\} \subseteq K$ . Let  $b_n = b_{\xi_n, h^{\xi_n}(n)}$  and  $B = \{b_n : n < \omega\}$ . By the choice of A,  $U_{\xi}$ 's and  $b_{\xi,i}$ 's,  $A \cap B = \emptyset$  and B is closed in X. Also, by the choice of  $h_{\rho}^{\xi}$ 's, for each  $\rho \in D$  and  $n < \omega$  we have  $\rho(a_{\xi_n}, b_n) \le \frac{1}{n+1}.$ 

Now it is easy to see that  $A \not\parallel B$  (sup{ $u_{\rho}X : \rho \in D$ }), and hence  $\beta X \not\simeq \sup\{u_{\rho}X : \rho \in D\}.$ 

Corollary 3.3. Let  $X_{\kappa} = \kappa \times (\omega + 1)$ , where  $\kappa$  is equipped with the discrete topology and  $\omega + 1$  is equipped with the usual order topology. If  $\kappa > 2^{\mathfrak{d}}$ , then  $\mathfrak{sa}(X_{\kappa}) > \mathfrak{d}$ .

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