



Ball-impact energy analysis of wet tumbling mill using a modified discrete element method considering the velocity dependence of friction coefficient

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1 **Ball-impact energy analysis of wet tumbling mill using a modified discrete element**  
2 **method considering the velocity dependence of friction coefficient**

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8

9 **Abstract**

10 A modified three-dimensional quasi-wet discrete element method (DEM), which is constructed  
11 by adding the drag force and buoyancy and the velocity dependence of the friction coefficient  
12 of a ball to a conventional dry DEM model, is proposed for analyzing the impact energy of balls  
13 in wet ball-milling processes. A comparison of the calculated ball motion in water as the liquid  
14 medium with the experimental results demonstrated the validity of the proposed model. The  
15 friction coefficient decreased with the increase in the vessel rotational speed and was expressed  
16 as a function of the rotational speed and loading amount of the balls. The velocity dependence  
17 of the friction coefficient was similar to the variation in the friction coefficient with the sliding  
18 velocity, as derived from the lubrication theory. A numerical analysis of the impact energy  
19 distribution in the vessel showed that relatively high-impact energies of the balls were  
20 intensively generated near the vessel wall, indicating that the wet ball-milling processes were  
21 controlled by the impact energy between the ball and the wall. Our model can contribute to  
22 reducing the calculation load for simulating the ball motion in wet ball-milling processes  
23 compared with the coupling models such as DEM-CFD.

24

25 **Keywords:** Wet milling, DEM, Impact energy, Friction coefficient, Velocity dependence,  
26 Lubrication

27

## 28 **1. Introduction**

29 Wet ball-milling processes using horizontal tumbling mills have been conventionally employed  
30 in various industries, e.g., mining, ceramics, foods, fine chemicals, and pharmaceuticals, owing  
31 to their versatility (Danha et al., 2015; Iwasaki et al., 2013; Katou et al., 2019). Mechanical  
32 energy, such as compressive and shearing energies, acting on the particulate materials to be  
33 treated during wet ball-milling must be precisely controlled to maintain and/or improve the  
34 quality and performance of industrial products obtained from the milling processes. It is  
35 necessary to determine the impact energy of grinding balls by thoroughly analyzing their  
36 motion in the milling vessel to control the mechanical energy. Generally, numerical approaches  
37 are often used because experimental investigations of the ball motion are complicated. Among  
38 the numerical techniques, the coupling model of computational fluid dynamics (CFD) and  
39 discrete element method (DEM), i.e., the Eulerian-Lagrangian approach, is an effective method  
40 for analyzing the particle behavior in liquid-solid mixed-phase systems (Al-Arkawazi et al.,  
41 2017; Blais and Bertrand, 2017; Blais et al., 2017; Golshan et al., 2020). Some wet ball-milling  
42 processes have been analyzed using the CFD-DEM coupling method (Mayank et al., 2015).  
43 Although the CFD-DEM method can provide highly accurate numerical data for the ball motion  
44 in a fluid flow, the calculation region must be divided into a number of meshes with an  
45 appropriate shape and size to obtain valid calculation results, which increases the computational  
46 load. Recently, DEM coupled with some particle methods, such as moving particle semi-  
47 implicit (MPS) and smoothed particle hydrodynamics (SPH) methods, are also applied in  
48 calculating ball motions under wet conditions (Jonsén et al., 2014; Jonsén et al., 2015; Sinnott

49 et al., 2017; Sun et al., 2014). These methods have some advantages that the high-precision  
50 calculation can be performed without dividing the calculation region into the meshes and a free  
51 surface flow of the fluid can be simulated relatively easily. However, the MPS-DEM and SPH-  
52 DEM methods for wet milling processes require a number of small particles expressing the  
53 fluid in addition to the balls, which also results in an increase in the computational load. Thus,  
54 although the coupling models are effective in analyzing the behavior of both the balls and the  
55 fluid during wet milling processes, significant computational efforts are required. Therefore,  
56 numerical calculations using the coupling models may be unsuitable for the analysis of practical  
57 large-scale processes owing to quite large computational loads (Norouzi et al., 2017).

58 In most tumbling mills, large and heavy balls are used and are forcefully moved inside the  
59 vessel. Consequently, the balls have large inertial forces, which reduce the effects of fluid flow  
60 and liquid bridge force on ball motion under wet conditions. Some studies demonstrated that  
61 the ball motion in wet milling processes under certain conditions could be numerically analyzed  
62 without coupling any fluid flow simulation. Namely, simple quasi-wet DEM models, which are  
63 derived from the conventional dry DEM with slight modifications for considering an influence  
64 of the fluid on the ball motion, have been proposed. For example, Mori et al. (2004) corrected  
65 the external force term in the equation of motion of the dry DEM describing the ball motion  
66 with the drag force and buoyancy acting on a single sphere in a stationary fluid. In the model,  
67 the frictional force acting on the ball is computed using a constant friction coefficient suitable  
68 for wet conditions, which can simulate the experimental average head height of the ball bed  
69 during milling. Govender et al. (2013) simulated the ball motion in wet milling using a DEM  
70 without considering the drag force and buoyancy by employing a suitable friction coefficient.  
71 Although such simple quasi-wet DEMs may not provide strict simulation of wet milling  
72 processes compared with the coupling models, the computational load resulted from the fluid

73 flow simulation can be effectively reduced. However, the use of a constant friction coefficient  
74 in the models may not adequately simulate the ball motion under wet conditions. This is because  
75 the friction coefficient between the solids covered with a liquid can vary, depending on the  
76 viscosity of the liquid, the sliding velocity of the solid, and the normal load acting on the solid  
77 described using the Stribeck curve according to the lubrication theory (Torbacke et al., 2014).

78 In this study, a three-dimensional quasi-wet DEM modified by introducing the velocity  
79 dependence of the friction coefficient is proposed to describe the ball motion. The distribution  
80 of the ball impact energy in a tumbling mill under wet conditions is analyzed using the modified  
81 quasi-wet DEM.

82

## 83 **2. Calculation and experimental methods**

### 84 2.1. Calculation

85 In a quasi-wet DEM, the translational and rotational motions of a ball can be described using  
86 the following equations of motion:

$$87 \quad m \frac{d^2 \mathbf{x}}{dt^2} = \mathbf{F}_c + \mathbf{F}_d + \mathbf{F}_b + m\mathbf{g} \quad (1)$$

$$88 \quad I \frac{d\boldsymbol{\omega}}{dt} = \mathbf{T} \quad (2)$$

89 where  $m$ ,  $\mathbf{x}$ ,  $t$ , and  $\mathbf{g}$  are the mass of the ball, the coordinates of the center of the ball, the time,  
90 and the gravitational acceleration, respectively.  $\mathbf{F}_c$ ,  $\mathbf{F}_d$  and  $\mathbf{F}_b$  represent the contact force, drag  
91 force and buoyancy acting on the ball, respectively.  $I$ ,  $\boldsymbol{\omega}$ , and  $\mathbf{T}$  denote the moment of inertia,  
92 angular velocity, and moment of the ball, respectively.  $\mathbf{F}_c$  was expressed by the Hertz-Mindlin  
93 contact model. In general, the drag force for a particle is greatly affected by the volume fraction  
94 of particles in the fluid. However, when both density and size of a particle are quite large like  
95 grinding ball, a high inertia force can act on the particle, which may decrease relatively the

96 influence of volume fraction on the drag force. Therefore, it can be assumed that  $\mathbf{F}_d$  is  
 97 approximated by the drag force acting on a single sphere in a stationary fluid, according to the  
 98 wet DEM model proposed by Mori et al. (2004). Thus,  $\mathbf{F}_d$  and  $\mathbf{F}_b$  can be expressed using Eqs.  
 99 (3) and (4), respectively:

$$100 \quad \mathbf{F}_d = -\frac{1}{2}(C_D A \rho |\mathbf{v}|) \mathbf{v} \quad (3)$$

$$101 \quad \mathbf{F}_b = -V \rho \mathbf{g} \quad (4)$$

102 where  $\rho$  is the density of fluid, and  $A$ ,  $\mathbf{v}$ , and  $V$  are the projected area, translational velocity, and  
 103 volume of the ball, respectively.  $C_D$  is the drag coefficient and can be calculated using Eqs. (5)–  
 104 (7), in accordance with the particle Reynolds number  $Re_p$  of the ball.

$$105 \quad C_D = 24/Re_p \quad \text{at } Re_p < 1 \quad (5)$$

$$106 \quad C_D = (0.55 + 4.8/Re_p^{0.5})^2 \quad \text{at } 1 < Re_p < 10^4 \quad (6)$$

$$107 \quad C_D = 0.44 \quad \text{at } Re_p > 10^4 \quad (7)$$

108 The conventional DEM considers the sliding and rolling frictions for calculating the contact  
 109 force and moment of a particle. The rolling friction is influenced by the surface roughness and  
 110 cohesiveness of the contact solid; however, when the liquid lies between solids, such as when  
 111 the balls collide in a medium, the rolling friction of the solids significantly decreases owing to  
 112 lubrication (Butt et al., 2003). Accordingly, during the wet ball-milling processes, the effect of  
 113 the rolling friction of balls on the wet ball-milling behavior may be small. Therefore, the rolling  
 114 friction may be neglected in this analysis, but the sliding friction plays a critical role. In this  
 115 study, the effect of sliding friction on the ball movement was the main focus.

116 When analyzing the mechanical energy generated during wet ball-milling, the impact  
 117 energy  $E_i$  of the ball during a single collision (Kano and Saito, 1998) and the accumulated value

118 of  $E_i$  per second,  $E$ , are calculated using by Eqs. (8) and (9), respectively:

$$119 \quad E_i = (1/2)mv_{ij}^2 \quad (8)$$

$$120 \quad E = \sum^N E_i \quad (9)$$

121 where  $i$  and  $j$  are the numbers of the contacting balls,  $v_{ij}$  is the relative velocity of the ball just  
122 before the collision, and  $N$  is the number of collisions per second.

123 The ball behavior was simulated in three dimensions using the parameters listed in Table 1,  
124 corresponding to the experimental set up and operating conditions to be discussed later. A  
125 schematic of the horizontal tumbling ball mill was illustrated in Fig. 1. The milling vessel has  
126 no lifters; the interior surface of vessel is smooth. The coefficients of restitution were  
127 experimentally determined. Assuming that the surface properties of ball and vessel wall hardly  
128 affect the amount of friction between fully wetted surfaces due to the presence of a relatively  
129 thick liquid film on the surfaces, the friction coefficients between balls and between the ball  
130 and wall were identical (Mori et al., 2004) and were varied in the simulation. Under the loading  
131 amount of the liquid medium used in this study, most of the balls were submerged during milling.  
132 It was assumed that both the drag force and the buoyancy always acted on all the balls. The  
133 motions of the balls near the milling vessel cap were determined; the balls of which the central  
134 coordinate was within 12 mm from the cap were detected to match the experimental  
135 measurement. The calculated values were compared with the experimental ones. In the  
136 calculation, a short time step (0.1  $\mu$ sec) was used to avoid divergence of the computations using  
137 the stiffness of ball and wall determined respectively with the actual Young's moduli of alumina  
138 (aluminum oxide,  $Al_2O_3$ ) and PTFE. The computational load in the simulation was relatively  
139 small even when using the short time step, compared with the coupling models; for example,

140 when using a desktop computer with Intel Core i7 (4.2 GHz) processor, each calculation was  
141 completed within a few days.

142

## 143 2.2. Experimental methods

144 In the experimental investigations of the ball motion, a cylindrical vessel with an inner diameter  
145 of 90 mm and a depth of 80 mm and Al<sub>2</sub>O<sub>3</sub> balls of 10 mm diameter were used, which was  
146 similar to Fig. 1. The vessel was made of stainless steel, and the inner part was coated with  
147 PTFE. No lifters were equipped. The experimental conditions are listed in Table 2. The values  
148 of the ball loading  $J$ , defined as the ratio of the bulk volume of the ball bed (including the voids  
149 among the balls) to the vessel capacity, were 0.4 and 0.5. The void fraction of the ball beds  
150 under static conditions was 0.39, regardless of the value of  $J$ . Deionized water was used as the  
151 medium. The water loading, defined as the ratio of the total actual volumes of both water and  
152 balls to the vessel capacity, was maintained at 0.7; the loading amounts of water were 233 and  
153 202 g for  $J = 0.4$  and 0.5, respectively. The critical rotational speed ratio  $\varphi$  of the vessel, defined  
154 as the ratio of the operating speed  $n$  to the ideal critical speed  $n_c (= 2.35 \text{ s}^{-1})$ , i.e.,  $\varphi = n/n_c$ , which  
155 was determined as  $n_c = (2g/D)^{0.5}/(2\pi)$  ( $g$ : gravity acceleration,  $D$ : vessel diameter) from the  
156 equilibrium of gravity and centrifugal force acting on a ball. In this work,  $\varphi$  was varied from  
157 0.3 to 1.3 in which a continuous circulating flow of balls is formed in the vessel.

158 The steady-state motion of the balls in the vessel was directly observed from outside to  
159 verify the validity of our model through a transparent plastic lid, using a digital camera at a  
160 frame rate of 399.3 fps. The captured images were analyzed using image-processing software  
161 (ImageJ with TrackMate) to detect the position of the balls near the lid at a given time and to  
162 determine the velocity of each ball (Broeke et al., 2015; Tinevez et al., 2017). The average head  
163 height of the balls and the velocity distribution in the vessel were obtained using the determined



164 experimental ball motion and compared with those of the simulation.

165

### 166 **3. Results and discussion**

#### 167 3.1. Velocity dependence of friction coefficient

168 Fig. 2 shows the variation in the average head height (calculated using various friction  
169 coefficients) with the critical rotational speed ratio  $\varphi$  for both calculated and experimental data.

170 The experimental average head height at  $J = 0.4$  was almost constant regardless of the  $\varphi$  value.

171 However, the experimental average head height at  $J = 0.5$  slightly increased with  $\varphi$  owing to an

172 increase in the frictional force between the ball and the vessel wall with increasing normal stress

173 acting on the balls in contact with the vessel wall. In contrast, the calculated average head height

174 significantly increased with  $\varphi$  for both  $J$  values. Furthermore, for each  $J$  value, when a constant

175 friction coefficient was used in the calculation, the variations in the experimental and calculated

176 average head heights with  $\varphi$  were not consistent with each other. The results suggest that the

177 friction coefficient varied depending on  $\varphi$ . Therefore, the friction coefficient in which the

178 experimental average head height agreed with the calculated value was determined at each  $\varphi$ .

179 Fig. 3 shows the variation of the adjusted friction coefficient  $\mu_a$  with  $\varphi$  for each  $J$  value. The

180 velocity dependence of  $\mu_a$  was confirmed;  $\mu_a$  decreased with an increase in  $\varphi$ , indicating the

181 lubricating effect of water. Fig. 4 confirms that the average head heights calculated using  $\mu_a$

182 well coincide with the experimental data, which suggests that  $\mu_a$  is valid.

183 According to the lubrication theory (Torbacke et al., 2014), the variation in the friction

184 coefficient with the operating conditions can be described using the Stribeck curve as a

185 parameter of  $\eta v_s / F$ . In the above expression,  $\eta$  is the viscosity of the liquid,  $v_s$  is the relative

186 sliding velocity between solids, and  $F$  is the normal load. Therefore, assuming that  $\eta$  is constant

187 and that  $v_s$  and  $F$  in the wet ball-milling are proportional to  $\varphi$  and  $J$ , respectively, the adjusted

188 friction coefficient  $\mu_a$  was analyzed using the parameter  $\varphi/J$ , which correspond to  $\eta_{vs}/F$ . As  
189 shown in Fig. 5,  $\mu_a$  decreases monotonically with increasing  $\varphi/J$ , as expressed using Eq. (10).  
190 This variation tendency may correspond to that in the mixed lubrication region of the Stribeck  
191 curve (Torbacke et al., 2014).

$$192 \quad \mu_a = 0.31(\varphi/J)^{-0.40} \quad (10)$$

193 Using the adjusted friction coefficient suitable for the critical rotational speed ratio and the  
194 ball loading ratio determined using Eq. (10), the ball movement was calculated for each  $J$  value,  
195 and the 3-sec averaged velocity distribution of the balls was determined and compared with  
196 those of the experimental results. Furthermore, the absolute errors of velocity and moving  
197 direction of balls between the experimental data and the calculated values were computed. The  
198 absolute error of the direction was defined as the angle between the experimental and calculated  
199 velocity vectors. As shown in Fig. 6, except for the center of ball beds in which the ball motion  
200 could be quite slow and irregular (random), the absolute errors were small under the given  
201 conditions, indicating that the calculation results almost agreed with the experimental values at  
202 each  $\varphi$ . These findings demonstrate that our model considering the velocity dependence of  $\mu_a$   
203 can satisfactorily express the actual motion of balls in wet milling.

204

### 205 3.2. Impact energy analysis

206 Fig. 7a shows the accumulated impact energy  $E$ , with its normal and tangential components,  $E_n$   
207 and  $E_t$ , using  $J = 0.5$  as an example.  $E$  was approximately proportional to the square of  $\varphi$ ,  
208 indicating that  $E$  corresponds to the rotational energy of the vessel. In addition,  $E$  significantly  
209 depended on  $E_t$  rather than  $E_n$ . The impact energy was analyzed by dividing  $E$  into two parts,  
210 i.e.,  $E_b$  and  $E_w$ , representing the accumulated impact energies between the balls, and between

211 the ball and the wall, respectively. As shown in Fig. 7b,  $E_w$  exponentially increased with  $\varphi$ ,  
212 whereas  $E_b$  was linearly proportional to  $\varphi$ . The results imply that the dependence of  $E$  on  $\varphi$  was  
213 significantly influenced by  $E_w$  in comparison with  $E_b$ . The frequency distribution of  $E$  in the  
214 vessel at each  $\varphi$  value was computed to analyze the variation in  $E_w$  with  $\varphi$ . Fig. 8 shows the 3-  
215 sec averaged energy-basis and number-basis frequency distributions at  $J = 0.5$ . In both  
216 distributions, high-frequency values were observed along the vessel wall as  $\varphi$  increased. As  
217 shown in Fig. 6, the motion of balls near the vessel wall was relatively gentle because they  
218 moved together like a rigid body, which induced the reduction of impact energy between the  
219 balls. In contrast, the ball-to-wall relative velocity was large due to sliding and a large amount  
220 of the impact energy generated, according to the definition of impact energy by Eq. (8).  
221 Therefore, large impact energies tended to generate within the region between the slowly  
222 moving assembly of balls and the fast moving wall (i.e., the shear zone). Consequently, wet  
223 ball-milling processes may be controlled through the impact energy of the balls in contact with  
224 the vessel wall.

225

#### 226 **4. Conclusions**

227 A modified quasi-wet DEM considering the vessel speed dependence of the friction coefficient  
228 of a ball is proposed. The validity of this method was demonstrated through a comparison of  
229 the calculation results of the ball motion in a milling vessel with the experimental results. The  
230 velocity dependence of the friction coefficient was similar to the variation in the friction  
231 coefficient with the sliding velocity, as described using the lubrication theory. Our model can  
232 contribute to the reduction of calculation loads in simulating ball motion in wet milling  
233 processes. The numerical analysis of the ball-impact energy showed that relatively high-impact  
234 energy of balls was intensively generated near the vessel wall, indicating that wet ball-milling

235 processes are controlled by the impact energy between the ball and the wall. According to the  
236 lubrication theory, the friction coefficient should be varied depending on the normal load acting  
237 on the ball. Thus, apart from the effects of operating conditions such as ball loading and ball  
238 diameter, the effects of the normal load dependence on the ball motion under wet conditions  
239 should be investigated in detail.

240

## 241 **Nomenclature**

242  $A$  Projected area of the ball ( $\text{m}^2$ )

243  $C_D$  Drag coefficient (–)

244  $D$  Vessel diameter (m)

245  $E$  Accumulated impact energy (J/s)

246  $E_n$  Normal components of  $E$  (J/s)

247  $E_t$  Tangential component of  $E$  (J/s)

248  $E_b$  Accumulated impact energy between balls (J/s)

249  $E_w$  Accumulated impact energy between the ball and the wall (J/s)

250  $E_i$  Impact energy of the ball at single collision (J)

251  $F$  Normal load (N)

252  $F_c$  Contact force (N)

253  $F_d$  Drag force (N)

254  $F_b$  Buoyancy (N)

255  $g$  Gravity acceleration ( $\text{m/s}^2$ )

256  $I$  Moment of inertia of ball ( $\text{kg}\cdot\text{m}^2$ )

257  $J$  Ball loading volume ratio (–)

258  $m$  Mass of the ball (kg)

259	$N$	Number of collisions per unit time ( $s^{-1}$ )
260	$n$	Vessel speed ( $s^{-1}$ )
261	$n_c$	Ideal critical vessel speed ( $s^{-1}$ )
262	$Re_p$	Particle Reynolds number of the ball (–)
263	$T$	Moment of the ball ( $N\cdot m$ )
264	$t$	Time (s)
265	$V$	Volume of the ball ( $m^3$ )
266	$v$	Translational velocity of ball (m/s)
267	$v_{ij}$	Relative velocity of the ball just before the collision (m/s)
268	$v_s$	Relative sliding velocity between solids (m/s)
269	$x$	Coordinates of the center of the ball (m)
270	$\eta$	Viscosity of liquid ( $Pa\cdot s$ )
271	$\mu_a$	Adjusted friction coefficient (–)
272	$\rho$	Density of fluid ( $kg/m^3$ )
273	$\varphi$	Critical rotational speed ratio (–)
274	$\omega$	Angular displacement of the ball (rad)

275

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330

**Table 1 – Calculation parameters.**

Ball material	Alumina (Al <sub>2</sub> O <sub>3</sub> )
Wall material	Polytetrafluoroethylene (PTFE)
Ball diameter	10 mm
Vessel internal diameter	90 mm
Vessel internal depth	80 mm
Ball loading volume ratio, <i>J</i>	0.4, 0.5
Number of balls corresponding to <i>J</i>	237, 296
Ball density*	3980 kg/m <sup>3</sup>
Young's modulus of ball*	380 GPa
Poisson's ratio of ball*	0.22
Young's modulus of wall*	0.40 GPa
Poisson's ratio of wall*	0.46
Restitution coefficient of ball-to-ball	0.78
Restitution coefficient of ball-to-wall	0.83
Critical rotational speed ratio, $\varphi$	0.3, 0.7, 1.0, 1.3
Water density	1000 kg/m <sup>3</sup>
Water viscosity	1.0 mPa·s
Time step	0.1 $\mu$ s
Recording interval of ball coordinates	2.5 ms
Recording time	3.0 s

\* Callister and Rethwisch (2009)

**Table 2 – Experimental conditions of wet tumbling mill.**

Ball material	Al <sub>2</sub> O <sub>3</sub>
Internal wall material	PTFE
Ball diameter	10 mm
Vessel volume	510 mL
Vessel internal diameter	90 mm
Vessel internal depth	80 mm
Ball filling ratio, <i>J</i>	0.4, 0.5
Number of balls corresponding to <i>J</i>	237, 296
Porosity of ball bed	0.39
Critical rotational speed ratio, $\varphi$	0.3, 0.7, 1.0, 1.3
Frame interval	2.5 ms
Recording time	3.0 s



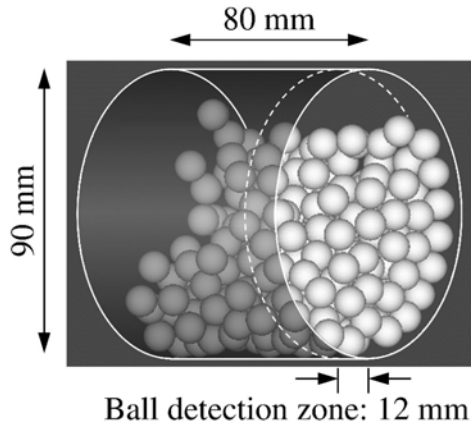


Fig. 1 – Schematic of the horizontal tumbling ball mill.

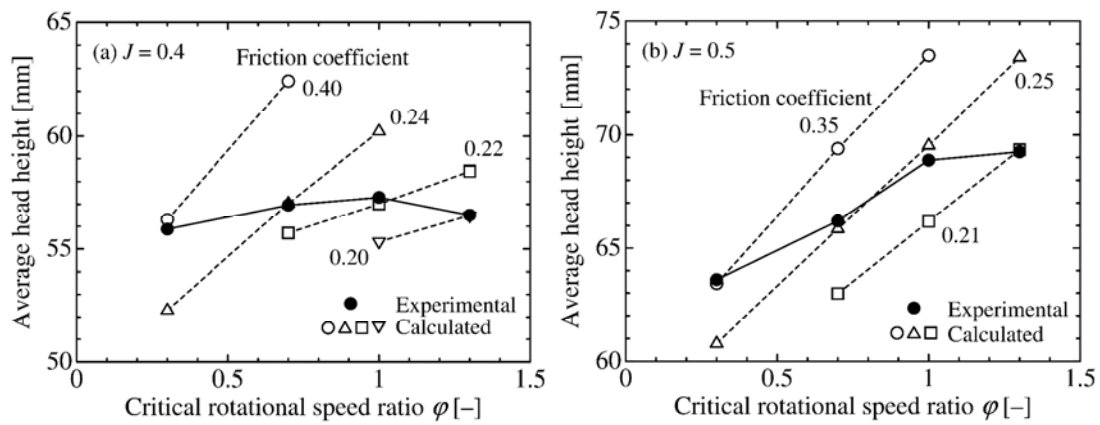


Fig. 2 – Variations in experimental and calculated average head heights with critical rotational speed ratio at  $J =$  (a) 0.4 and (b) 0.5.

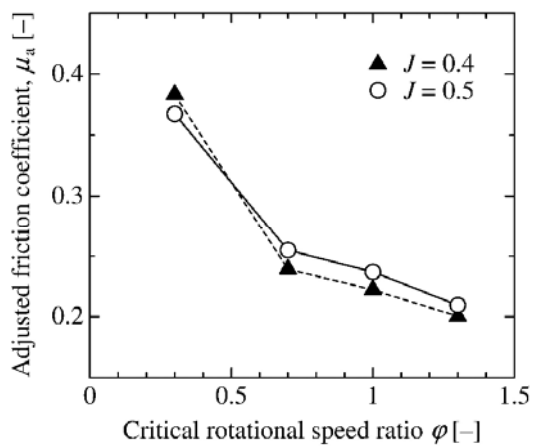


Fig. 3 – Variation in adjusted friction coefficient  $\mu_a$  with critical rotational speed ratio  $\phi$ .

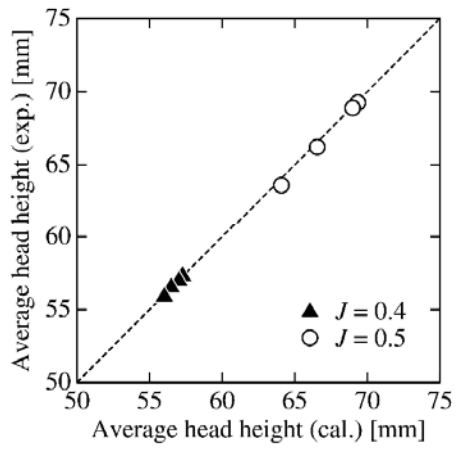


Fig. 4 – Comparison of average head heights calculated with adjusted friction coefficient  $\mu_a$  with experimental data.

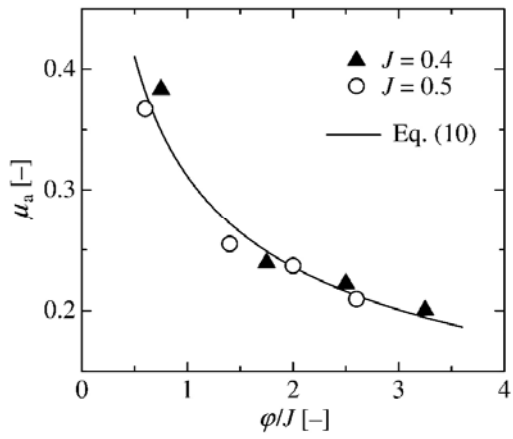


Fig. 5 – Adjusted friction coefficient  $\mu_a$  as a function of  $\phi/J$ .

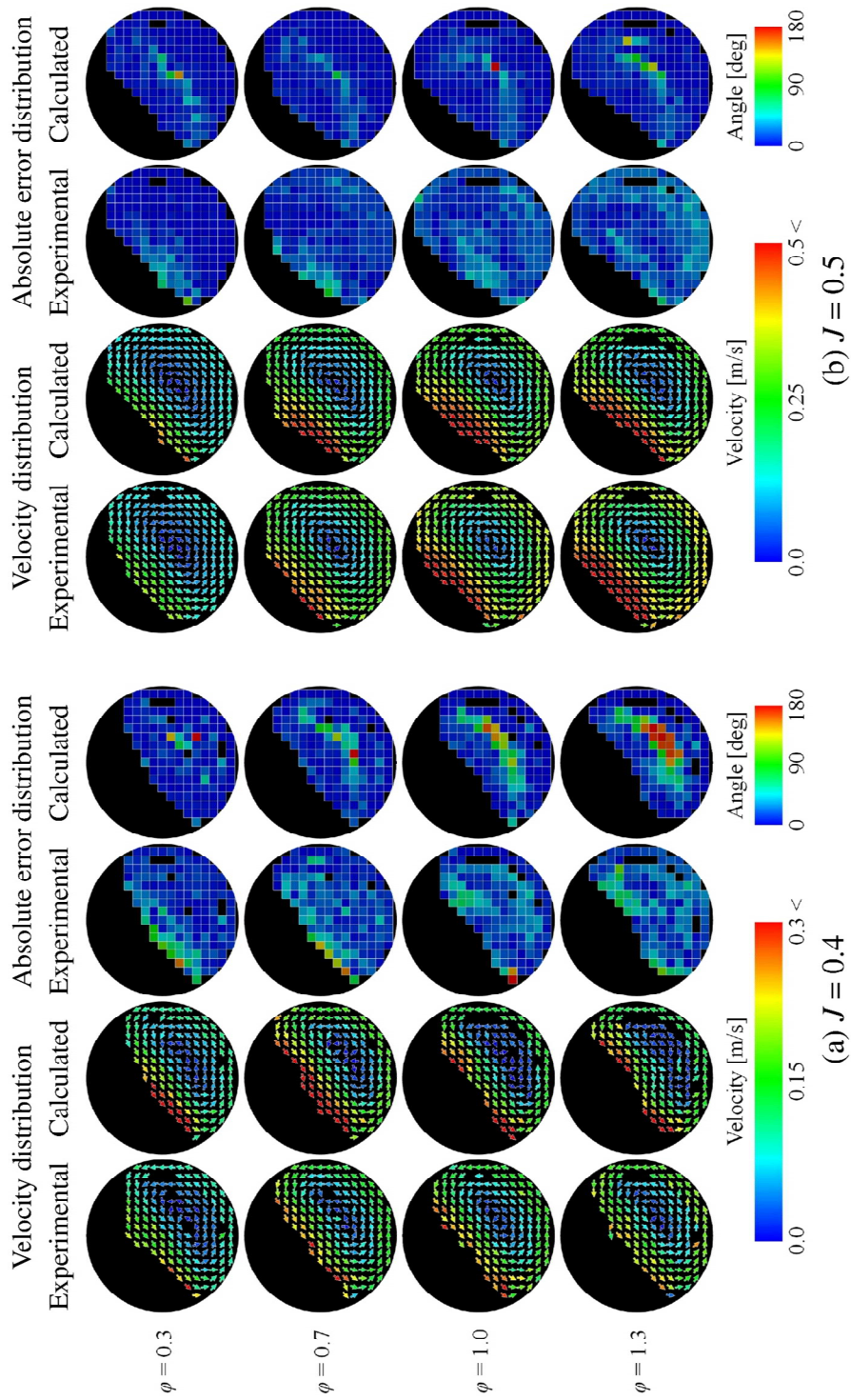


Fig. 6 – Velocity and error distributions at  $J = 0.4$  and  $0.5$ .

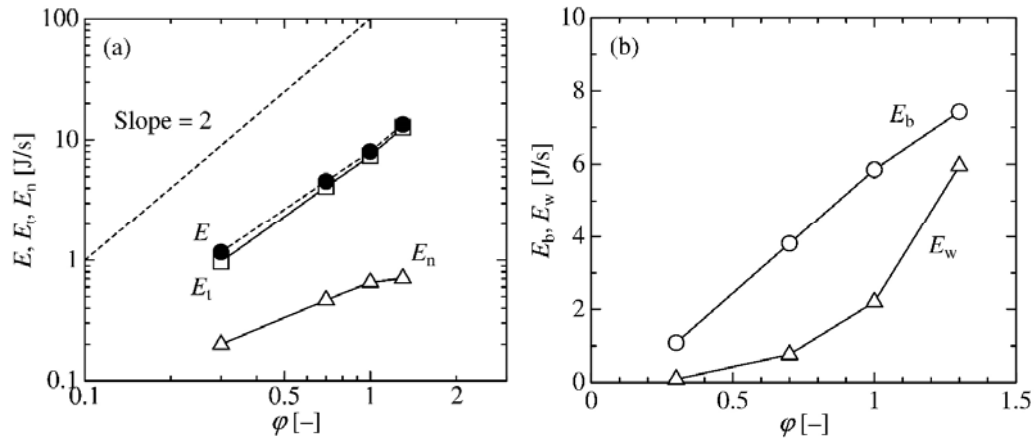


Fig. 7 – Variations in (a) accumulated impact energy and its normal and tangential components and (b) accumulated energy of ball-to-ball and ball-to-wall impacts with critical rotational speed ratio at  $J = 0.5$ .

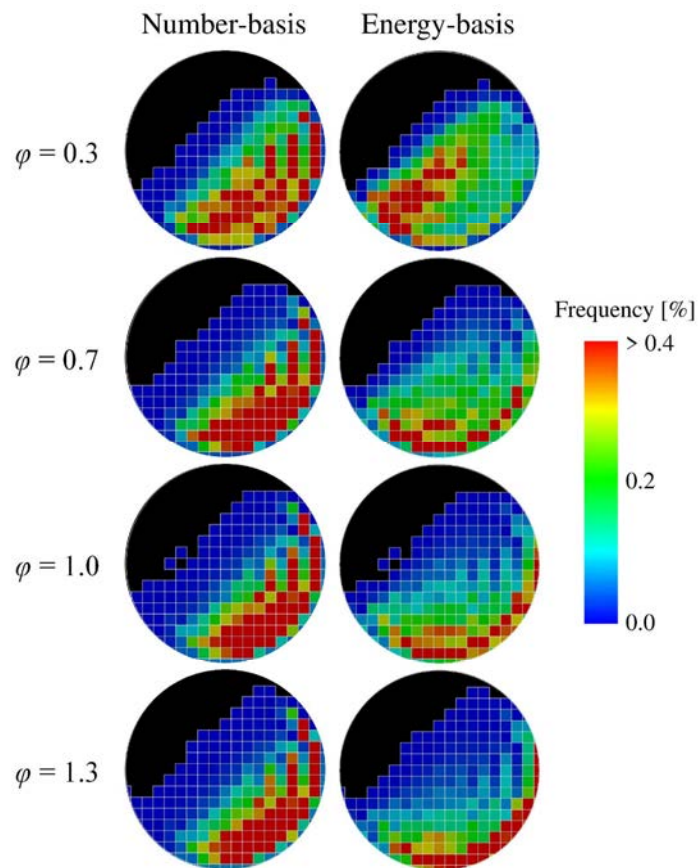


Fig. 8 – Effect of critical rotational speed ratio on the energy-basis and number-basis frequency distributions of impact energy  $E_w$  at  $J = 0.5$ .